

# Pharmacogenomics:

Increasing the safety and effectiveness of drug therapy



## Introduction to personalized medicine

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The goal of personalized medicine is to individualize health care by using knowledge of patients' health history, behaviors, environments, and, most importantly, genetic variation when making clinical decisions. Research on genetics has provided advances that can be used to more accurately predict the risk of developing certain diseases, personalize screening and surveillance protocols and, in some cases, prevent the onset of disease. In certain cases, genetics can also be used to diagnose diseases and tailor therapies and disease management strategies. These advancements in personalized medicine rely on knowledge of how a patient's genotype (genetic makeup) influences his or her phenotype (observable traits or characteristics). Using the principles of personalized medicine, health care providers may be better equipped to move beyond the "one-size-fits-all" approach that defined much of patient care in the past, to care that is appropriate for unique patient subgroups.<sup>1</sup>

## Pharmacogenomics

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One of the most important components of personalized medicine is pharmacogenomics, the study of genetic variations that influence individual response to drugs. Enzymes responsible for drug metabolism and proteins that determine the cellular response to drugs (receptors) are encoded by genes, and can therefore be variable in expression, activity level and function when genetic variations are present. Knowing whether a patient carries any of these variations may help health care professionals individualize drug therapy, decrease the number of adverse drug reactions and increase the effectiveness of drugs. Pharmacogenomics has been characterized as "getting the right dose of the right drug to the right patient at the right time."<sup>2</sup> This brochure is intended to introduce the concept of pharmacogenomics to physicians and other health care providers using a case-based approach. Note that the terms "pharmacogenomics" and "pharmacogenetics" are often used interchangeably; for this brochure, "pharmacogenomics" will be used.

## Genes commonly involved in pharmacogenomic drug metabolism and response

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There are several genes responsible for differences in drug metabolism and response. Among the most common are the *Cytochrome P450 (CYP)* genes, encoding enzymes that control the metabolism of more than 70 percent of prescription drugs. People who carry variations in certain *CYP* genes often do not metabolize drugs at the same rate or extent as in most people, and this can influence response in many ways. Other genes known to affect drug response encode the receptors for regulatory molecules such as neurotransmitters, hormones, cytokines and growth factors, and cellular proteins such as enzymes, transporters, carriers, ion channels, structural proteins and transcription factors. Variations in these genes can lead to poor response and adverse drug reactions by disabling, inactivating, interfering with, or inaccurately inducing the signaling mechanisms or cellular machinery that must function for the body to respond properly to the drug; or by causing side effects that prevent continued use of the drug.

## Selected drugs whose safety and efficacy are affected by gene variations

The table below is a partial list of drugs that exhibit reduced therapeutic effectiveness and/or safety concerns in patients carrying certain genetic variations. These variations often make the drug unsafe or unsuitable for patients who carry the variations. This list contains examples of drugs that are affected by inherited genetic variations and by variations that are acquired and present in tumor tissue.

Drug	Gene(s)	Drug	Gene(s)
Clopidogrel (Plavix®)	CYP2C19	Azathiopurine (Imuran®)	TPMT
Atomoxetine (Strattera®)	CYP2D6	Irinotecan (Camptosar®)	UGT1A1
Codeine	CYP2D6	Cetuximab (Erbitux®)*	EGFR
Tamoxifen (Nolvadex®)	CYP2D6	Erlotinib (Tarceva®)*	EGFR
Warfarin (Coumadin®)	CYP2C9, VKORC1	Imatinib mesylate (Gleevec®)*	C-KIT
Abacavir (Ziagen®)	HLA-B*5701	Panitumumab (Vectibix®)*	KRAS
Carbamazepine (Tegretol®)	HLA-B*1502	Trastuzumab (Herceptin®)*	Her2/neu

\* Response to these drugs is dependent on genetic variations that are present in tumor tissue.

Adapted from U.S. Food and Drug Administration website ([www.fda.gov/Drugs/ScienceResearch/ResearchAreas/Pharmacogenetics/ucm083378.htm](http://www.fda.gov/Drugs/ScienceResearch/ResearchAreas/Pharmacogenetics/ucm083378.htm)). Accessed February 7, 2011.

## Metabolizer phenotype

The metabolizer phenotype describes the patient's ability to metabolize certain drugs and is based on the number and type of functional alleles of certain genes that a patient carries. These genes most commonly encode the CYP enzymes, which are the focus of much of this brochure. The metabolizer phenotype can range from "poor," used to describe patients with little or no functional activity of a selected CYP enzyme, to "ultra-rapid," used to describe patients with substantially increased activity of a selected CYP enzyme. Depending on the type of CYP variation present, the patient's metabolizer phenotype and the type of drug (active pharmacologic agent or inactive prodrug precursor), therapeutic drug response is often suboptimal. The table on the following page summarizes the effects of CYP variation on therapeutic efficacy.<sup>3</sup> For example, poor metabolizers are unable to metabolize certain drugs efficiently, resulting in a potentially toxic build-up of an active drug or the lack of conversion of a prodrug into an active metabolite. In contrast, in ultra-rapid metabolizers, an active drug is inactivated quickly, leading to a subtherapeutic response, while a prodrug is quickly metabolized, leading to rapid onset of therapeutic effect.

## Effects of CYP variants on therapeutic efficacy:

<b>Metabolizer phenotype</b>	<b>Active drug</b> (inactivated by metabolism) Drug $\xrightarrow{\text{enzyme}}$ Inactive Metabolite	<b>Prodrug</b> (needs metabolism to produce active metabolite) Prodrug $\xrightarrow{\text{enzyme}}$ Active Metabolite
<b>Poor</b>	Increased efficacy; active metabolite may accumulate; usually require lower dose to avoid toxic accumulation	Decreased efficacy; prodrug may accumulate; may require lower dose to avoid toxic accumulation, or may require alternate drug
<b>Ultra-rapid</b>	Decreased efficacy; active metabolite rapidly inactivated; usually require higher dose to offset inactivation	Increased efficacy; rapid onset of effect; may require lower dose to prevent excessive accumulation of active metabolite

## Some drugs and foods cause altered metabolizer phenotype

Certain drugs mimic the effect of genetic variations, effectively causing changes in metabolizer phenotype. For example, quinidine is an inhibitor of CYP2D6 activity. A patient taking quinidine is therefore a CYP2D6 poor metabolizer, similar to someone who carries a loss-of-function variation in *CYP2D6*. In those patients, drugs that require the activity of CYP2D6, such as atomoxetine, will not be metabolized at the same rate as in most people.<sup>4</sup> Certain foods can also mimic the effects of genetic variations. One of the most common examples is grapefruit juice, which is an inhibitor of CYP3A4. In people regularly drinking grapefruit juice, drugs that require the activity of CYP3A4, such as diazepam, will not be metabolized at the same rate as in most people.<sup>4</sup>

## Pharmacogenomics in the clinical setting

Awareness of the influence of gene variations on patient response to certain drugs can help physicians decide which type of drug therapy may be appropriate, and identify cases in which a patient isn't responding as anticipated to a drug. The examples that follow illustrate three categories for which pharmacogenomic knowledge can help inform therapeutic decisions: predicting and preventing adverse reactions, determining the efficacy of a drug for a particular patient, and predicting the optimal drug dose.

## Using pharmacogenomics to predict and prevent adverse drug reactions

Several drugs can cause severe or life-threatening reactions in patients with variations in genes that encode proteins that metabolize or are targets of the drugs. Knowing about patients' genetic variations can help physicians avoid drugs that may cause adverse reactions. On the following two pages are examples of drugs in this category.

## Abacavir

Abacavir (Ziagen®) is a nucleoside reverse transcriptase inhibitor used in combination with other antiretrovirals to treat HIV infection.<sup>5</sup> An immunologically-mediated hypersensitivity reaction occurs in 5–8 percent of patients taking abacavir, usually during the first six weeks after initiation of therapy. The hypersensitivity symptoms include a combination of fever, rash, gastrointestinal tract symptoms and respiratory symptoms that become more severe with continued dosing.<sup>6</sup> Discontinuation of abacavir therapy results in reversal of symptoms. The abacavir hypersensitivity described above is associated with a variant allele of the major histocompatibility complex, *HLA-B\*5701*. Patients who carry the *HLA-B\*5701* allele have an increased risk for developing a hypersensitivity reaction.<sup>6</sup> *HLA-B\*5701* screening before abacavir treatment results in a significantly reduced number of hypersensitivity cases.<sup>6</sup>

The abacavir product labeling recommends genetic testing to detect the presence of *HLA-B\*5701*.<sup>5</sup> In the “Warnings and Precautions” section, the labeling states:

Serious and sometimes fatal hypersensitivity reactions have been associated with ZIAGEN and other abacavir-containing products. Patients who carry the *HLA-B\*5701* allele are at high risk for experiencing a hypersensitivity reaction to abacavir. Prior to initiating therapy with abacavir, screening for the *HLA-B\*5701* allele is recommended; this approach has been found to decrease the risk of a hypersensitivity reaction. Screening is also recommended prior to reinitiation of abacavir in patients of unknown *HLA-B\*5701* status who have previously tolerated abacavir. For *HLA-B\*5701*-positive patients, treatment with an abacavir-containing regimen is not recommended and should be considered only with close medical supervision and under exceptional circumstances when the potential benefit outweighs the risk. (Label updated September 2010)

### Case study

JS is a 35 year-old man who has recently been diagnosed as HIV positive. Before initiating abacavir anti-retroviral therapy, his physician orders genetic testing to determine whether he carries the *HLA-B\*5701* allele, knowing that JS would develop fever, rash, nausea and fatigue if he carried the variant allele. The test confirmed that JS carries the *HLA-B\*5701* allele.

#### How did genetic testing help JS and his physician?

Knowing that JS carried the *HLA-B\*5701* variation indicated that he would likely experience a hypersensitivity reaction. JS's physician will probably not include abacavir in his antiretroviral regimen.

## Codeine

Codeine is a prodrug with analgesic properties due primarily to its conversion into morphine.<sup>7,8</sup> Conversion to morphine is mediated by the cytochrome P450 enzyme CYP2D6. Variations that decrease the metabolic activity of CYP2D6 result in a poor analgesic response due to the reduced conversion of codeine into morphine,<sup>3</sup> and patients carrying such a variation are considered poor metabolizers and receive little therapeutic benefit from codeine. It is estimated that 5–10 percent of Caucasians are CYP2D6 poor metabolizers; the percentage is approximately 2–3 percent in other racial and ethnic groups.<sup>7,9,10</sup>

Variations (such as gene duplications) can also result in increased metabolic activity of CYP2D6; these result in an enhanced analgesic response due to the rapid conversion of codeine into morphine. Patients who carry such variations are at risk for opioid toxicity, which includes moderate to severe central nervous system depression. The prevalence of the CYP2D6 ultra-rapid metabolizer phenotype has been estimated at 1–10 percent in Caucasians, 3–5 percent in African Americans, 16–28 percent in North Africans, Ethiopians and Arabs, and up to 21 percent in Asians.<sup>3,11</sup>

The product labeling (updated in July 2009) of drugs containing codeine include warnings that CYP2D6 ultra-rapid metabolizers “may experience overdose symptoms such as extreme sleepiness, confusion or shallow breathing, even at labeled dosage regimens,” and encourages physicians to “choose the lowest effective dose for the shortest period of time and inform their patients about the risks and the signs of morphine overdose.”<sup>11</sup> Of additional concern is the use of codeine in nursing mothers. Product labeling includes the statement that women who are CYP2D6 ultra-rapid metabolizers achieve “higher-than-expected levels of morphine in breast milk and potentially dangerously high serum morphine levels in their breastfed infants.” A 2007 U.S. Food and Drug Administration (FDA) Public Health Advisory warns about the dangers to neonates of codeine use in breast-feeding mothers and states that the only way to determine prior to drug administration whether a patient is an ultra-rapid metabolizer is by the use of a genetic test.<sup>12</sup> Canadian guidelines also state that genetic testing is available.<sup>13</sup>

### Case study

DS is a 30 year-old woman who gave birth by caesarian section 10 days ago. Her physician prescribed codeine for post-caesarian pain. Despite taking no more than the prescribed dose, DS experienced nausea and dizziness while she was taking codeine. She also noticed that her breastfed infant was lethargic and feeding poorly. When DS mentioned these symptoms to her physician, he recommended that she discontinue codeine use. Within a few days, both DS’s and her infant’s symptoms were no longer present.

#### How would genetic testing help DS and her physician?

Genotyping of DS’s CYP2D6 gene may have revealed a duplication of CYP2D6 genes, placing her in the ultra-rapid metabolizer category. Armed with this knowledge, DS’s physician would likely have prescribed a different analgesic that would have spared DS and her infant the symptoms of opioid toxicity.

## Using pharmacogenomics to predict effectiveness

Several drugs are subtherapeutic or ineffective in patients with variations in genes that encode drug-metabolizing proteins or targets of the drugs. Knowing about patients' genetic variations can help physicians select drug therapies that will be most effective for individual patients. Below is an example of a drug in this category.

### Clopidogrel

Clopidogrel (Plavix<sup>®</sup>) is a platelet inhibitor used in the treatment of a number of cardiovascular diseases. It is often prescribed for secondary prevention following acute coronary syndromes and for those undergoing percutaneous coronary intervention. However, despite clopidogrel treatment, up to one-quarter of patients experience a subtherapeutic antiplatelet response, resulting in a higher risk for ischemic events.<sup>14,15</sup>

Clopidogrel is a prodrug; its antiplatelet properties are exerted once it is converted to an active metabolite.<sup>16</sup> A cytochrome P450 enzyme, CYP2C19, mediates the conversion of clopidogrel into the active metabolite. Patients who carry certain variations in CYP2C19 are considered poor metabolizers and show reduced ability to convert clopidogrel into its active metabolite, resulting in a diminished antiplatelet effect.<sup>16,17</sup> Further, these patients are more likely to have an ischemic event following clopidogrel therapy.<sup>17</sup> Approximately 2–20 percent of patients (depending on ethnicity) are likely to carry CYP2C19 variations.<sup>3,18</sup>

A Boxed Warning is included in the product labeling to alert health care providers about its reduced effectiveness in patients who are CYP2C19 poor metabolizers, and to inform health care providers that genetic tests are available to detect genetic variations in CYP2C19<sup>18</sup>:

#### WARNING: DIMINISHED EFFECTIVENESS IN POOR METABOLIZERS

The effectiveness of Plavix is dependent on its activation to an active metabolite by the cytochrome P450 (CYP) system, principally CYP2C19. Plavix at recommended doses forms less of that metabolite and has a smaller effect on platelet function in patients who are CYP2C19 poor metabolizers. Poor metabolizers with acute coronary syndrome or undergoing percutaneous coronary intervention treated with Plavix at recommended doses exhibit higher cardiovascular event rates than do patients with normal CYP2C19 function. Tests are available to identify a patient's CYP2C19 genotype; these tests can be used as an aid in determining therapeutic strategy. Consider alternative treatment or treatment strategies in patients identified as CYP2C19 poor metabolizers. (Label updated February 2011).

## Case study

JM is a 58 year-old man who recently had an acute myocardial infarction. To prevent subsequent ischemic events, JM's physician recommends antiplatelet therapy and prescribes clopidogrel. Six months later, JM suffered another acute MI, and his physician suspects that the patient has been non-adherent, or alternatively, that clopidogrel therapy may have been ineffective.

### How would genetic testing help JM and his physician?

Determining JM's *CYP2C19* genotype may reveal that he carries a variant that diminishes the antiplatelet effect of clopidogrel. If this were the case, alternative anti-platelet therapies may have been considered, reducing the chance that JM would suffer a second cardiac event.

In patients taking both clopidogrel and the proton pump inhibitors omeprazole or esomeprazole, diminished antiplatelet activity and adverse cardiac outcomes have been observed.<sup>19</sup> It is thought that omeprazole and esomeprazole inhibit the activity of *CYP2C19*, making patients who take these drugs *de facto* *CYP2C19* poor metabolizers, similar to someone who carries a loss-of function variation in *CYP2C19*. In these patients, drugs that require the activity of *CYP2C19*, such as clopidogrel, will not be metabolized at the same rate as in most people.

## Using pharmacogenomics to predict optimal dose

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Genetic variations can lead to an altered dosage regimen. Knowing whether a patient carries these genetic variations can assist physicians in determining optimal therapeutic dose. An example of a drug with an altered dosage regimen in patients with certain genetic variations is warfarin.

### Warfarin

Warfarin (Coumadin<sup>®</sup>) is the most widely-prescribed anticoagulant used to treat and prevent thromboembolic diseases. It is metabolized by the *CYP2C9* enzyme, and its anticoagulant effect is mediated by the enzyme *VKORC1*. Variation in the *CYP2C9* gene causes some patients to have slow metabolism of warfarin and a longer half-life of the drug, resulting in higher than usual blood concentrations of warfarin and greater anticoagulant effect. Certain variations in the *VKORC1* gene result in reduced activity of the enzyme and subsequently reduced synthesis of coagulation factors. The combination of slow warfarin metabolism caused by *CYP2C9* gene variations and reduced coagulation caused by *VKORC1* gene variations increases the risk of bleeding during warfarin therapy.

Warfarin has a narrow therapeutic index; variations in *CYP2C9* and *VKORC1*, in addition to several other patient characteristics, make it difficult to predict the effective dose. Those carrying certain *CYP2C9* and *VKORC1* variations are likely to require altered doses and may require prolonged time to reach a stable maintenance dose. The prevalence of these *CYP2C9* and *VKORC1* variations is variable among racial and ethnic groups: up to 17 percent of Caucasians, 4 percent of African-Americans and less than 2 percent of Asians carry at least one variant of *CYP2C9*;<sup>20</sup> while 37 percent of Caucasians, 14 percent of African-Americans, and 89 percent of Asians carry at least one variant in *VKORC1*.<sup>21</sup>



The warfarin product labeling (updated January 2010) states “The patient’s *CYP2C9* and *VKORC1* genotype information, when available, can assist in selection of the starting dose,” and includes the following table of expected therapeutic doses for patients with different combinations of *CYP2C9* and *VKORC1* variations:<sup>22</sup>

Range of Expected Therapeutic Warfarin Doses (in mg) for <i>CYP2C9</i> and <i>VKORC1</i> Genotypes†						
<i>VKORC1</i> genotype	<i>CYP2C9</i> genotype					
	*1/*1	*1/*2	*1/*3	*2/*2	*2/*3	*3/*3
GG	5–7	5–7	3–4	3–4	3–4	0.5–2
AG	5–7	3–4	3–4	3–4	0.5–2	0.5–2
AA	3–4	3–4	0.5–2	0.5–2	0.5–2	0.5–2

†Ranges are derived from multiple published clinical studies. Other clinical factors (e.g., age, race, body weight, sex, concomitant medications, and comorbidities) are generally accounted for, along with genotype, in the ranges expressed in the table.

Adapted from Warfarin drug labeling, 2010.<sup>22</sup>

The product labeling suggests that this table of expected therapeutic doses can be used to assist prescribers in choosing initial warfarin dose for patients whose *CYP2C9* and *VKORC1* genotypes are known. For example, if genetic testing reveals that a patient carries the \*1/\*3 variation of *CYP2C9* and the AG variation of *VKORC1*, his or her expected therapeutic warfarin dose is likely to be in the 3–4 mg range.

Dosing algorithms that rely on clinical features such as age, sex and weight, along with genotype, can also assist in the determination of optimal dose (visit [www.warfarindosing.org](http://www.warfarindosing.org), where one such algorithm can be found). Genotype is one factor of many that contribute to variation in a patient’s response to warfarin. **Careful monitoring of INR is still required during titration to steady state and monitoring of long-term therapy.**

### Case study

ML is a 65 year-old woman who has recently been diagnosed with atrial fibrillation. To reduce the risk of stroke and other thrombotic events, ML’s physician recommends warfarin therapy. To estimate the initial dose, ML’s clinical characteristics (age, sex, weight, diet) were considered. However, ML will need to return to the clinic every day for INR monitoring until a stable dose is determined, and then every few weeks thereafter for maintenance monitoring.

#### How would genetic testing help ML and her physician?

Determination of ML’s *CYP2C9* and *VKORC1* genotype would reveal whether she carries any variations that alter her ability to metabolize and respond to warfarin. Knowing about any gene variations before initiating therapy allows for more accurate initial dosing and faster INR stabilization, and can reduce the risk for bleeding or clotting events.

## Pharmacogenomics and genetic testing

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Genetic tests that detect variations in the genes that control metabolism and response to certain drugs are commercially available. Costs and insurance coverage are variable, depending on the type of test ordered. Your hospital and reference laboratories as well as clinical geneticists are valuable sources of information on the best test to order and interpretation of results.

It is important to note that the field of pharmacogenomics is still developing, with new findings being rapidly reported. Clinical trials and other studies focusing on the benefits, risks and cost-effectiveness of using genetic information to inform drug therapy are underway. In the meantime, physicians and health care providers should be familiar with the concept that genetic variations can cause their patients to respond unexpectedly to drug therapy, and that in some cases, it may be appropriate to use genetic testing to guide therapeutic decisions. For more information on pharmacogenomics, visit the following websites:

- UC San Diego Skaggs School of Pharmacy and Pharmaceutical Sciences  
Pharmacogenomics Education Program (<http://pharmacogenomics.ucsd.edu/home.aspx>)
- American College of Clinical Pharmacology  
The Future of Medicine: Pharmacogenomics ([http://user.accp1.org/Sample\\_Home.htm](http://user.accp1.org/Sample_Home.htm))
- Indiana University School of Medicine, Division of Clinical Pharmacology  
Defining Genetic Influences on Pharmacologic Responses ([www.drug-interactions.com](http://www.drug-interactions.com))
- U.S. Food and Drug Administration Genomics ([www.fda.gov/Drugs/ScienceResearch/ResearchAreas/Pharmacogenetics/default.htm](http://www.fda.gov/Drugs/ScienceResearch/ResearchAreas/Pharmacogenetics/default.htm))
- Pharmacogenomics Research Network ([www.nigms.nih.gov/initiatives/pgrn](http://www.nigms.nih.gov/initiatives/pgrn))

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## For more information contact:

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American Medical Association  
[www.ama-assn.org/go/genetics](http://www.ama-assn.org/go/genetics)

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Arizona Center for Education and Research on Therapeutics  
[www.azcert.org](http://www.azcert.org)

The Arizona Center for Education and Research on Therapeutics is an independent, collaborative program of the Critical Path Institute and the University of Arizona College of Pharmacy. Its mission is to improve therapeutic outcomes and reduce adverse events caused by drug interactions.

Critical Path Institute  
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The Critical Path Institute is an independent, non-profit organization dedicated to bringing scientists from the FDA, industry and academia together to improve the path for innovative new drugs, diagnostic tests and devices to reach patients in need.

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